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THE JUMP OF THE MILNOR NUMBERS IN THE X_9 SINGULARITY CLASS

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Abstract

The jump of Milnor numbers of an isolated singularity f_0 is the minimal non-zero difference between the Milnor numbers of f_0 and one of its deformations (f_s) . We prove that for the singularities $x^4 + y^4 + ax^2y^2$, where $a \in \mathbb{C}, a^2 \neq 4$, of the X_9 singularity class the jump of Milnor numbers is equal to 2.

1 Introduction

Let $f_0 : (\mathbb{C}^n, 0) \to (\mathbb{C}, 0)$ be an *(isolated) singularity*, i.e. f_0 is a germ at 0 of a holomorphic function having an isolated critical point at $0 \in \mathbb{C}^n$, and $0 \in \mathbb{C}$ as the corresponding critical value. More specifically, there exists a representative $\hat{f}_0 : U \to \mathbb{C}$ of f_0 , holomorphic in an open neighborhood U of the point $0 \in \mathbb{C}^n$, such that:

- 1. $\hat{f}_0(0) = 0$,
- 2. $\nabla \hat{f}_0(0) = 0$,
- 3. $\nabla \hat{f}_0(z) \neq 0$ for $z \in U \setminus \{0\}$,

where for a holomorphic function f we put $\nabla f := (\partial f / \partial z_1, \dots, \partial f / \partial z_n).$

In the sequel we will identify germs of holomorphic functions with their representatives or the corresponding convergent power series. The ring of germs of holomorphic functions of n variables will be denoted by \mathcal{O}^n .

A deformation of the singularity f_0 is the germ of a holomorphic function $f = f(s, z) : (\mathbb{C} \times \mathbb{C}^n, 0) \to (\mathbb{C}, 0)$ such that:

- 1. $f(0,z) = f_0(z)$,
- 2. f(s,0) = 0,
- 3. for each $|s| \ll 1$ it is $\nabla_z f(s, z) \neq 0$ for $z \neq 0$ in a (small) neighborhood of $0 \in \mathbb{C}^n$.

The deformation f(s, z) of the singularity f_0 will also be treated as a family (f_s) of germs, taking $f_s(z) := f(s, z)$. In this context, the symbol ∇f_s will always denote $\nabla_z f_s(z)$.

Remark. Notice that in the deformation (f_s) there can occur in particular *smooth* germs, that is germs satisfying $\nabla f_s(0) \neq 0$.

By the above assumptions it follows that, for every sufficiently small s, one can define a (finite) number μ_s as the Milnor number of f_s , namely

$$\mu_s := \mu(f_s) = \dim_{\mathbb{C}} \mathcal{O}^n / (\nabla f_s) = i_0 \left(\frac{\partial f}{\partial z_1}, \dots, \frac{\partial f}{\partial z_n} \right),$$

where the symbol $i_0\left(\frac{\partial f}{\partial z_1},\ldots,\frac{\partial f}{\partial z_n}\right)$ denotes the multiplicity of the ideal $\left(\frac{\partial f}{\partial z_1},\ldots,\frac{\partial f}{\partial z_n}\right) \mathcal{O}^n$.

Since the Milnor number is upper semi-continuous in families of singularities [GLS07, Ch. I, Thm. 2.6], there exists an open neighborhood S of the point $0 \in \mathbb{C}$ such that

- 1. $\mu_s = \text{const.} \text{ for } s \in S \setminus \{0\},\$
- 2. $\mu_0 \ge \mu_s$ for $s \in S$.

The (constant) difference $\mu_0 - \mu_s$ for $s \in S \setminus \{0\}$ will be called the jump of the deformation (f_s) and denoted by $\lambda((f_s))$. The smallest nonzero value among all the jumps of deformations of the singularity f_0 will be called the jump of the singularity f_0 and denoted by $\lambda(f_0)$.

The first general result concerning the problem of computation of the jump was given by S. Gusein-Zade [Gus93], who proved that there exist singularities f_0 for which $\lambda(f_0) > 1$. He showed that a generic element in some classes of singularities (satisfying conditions concerning the Milnor numbers and modality) fulfills $\lambda(f_0) > 1$, but he didn't give any particular example of such a singularity.

The two-dimensional version of the problem of computation of the jump, and more precisely – of the non-degenerate jump (i.e. all the families (f_s) being considered are to be made of Kouchnirenko non-degenerate singularities), has been studied in the following papers: [Bod07], [Wal08], [Wal09], [Wal10], [Wal12].

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The following are example singularities that fulfill the assumptions of the Gusein-Zade theorem.

1. $x^4 + y^4$ – a singularity of modality 1. Corresponding to it is the class of singularities with constant Milnor number and of modality 1, namely

$$x^4 + y^4 + ax^2y^2$$
, $a^2 \neq 4$, $\mu_a = 9$.

It is the class X_9 in the terminology of [AGV85].

2. $x^4 + y^6$ – a singularity of modality 2. Corresponding to it is the class of singularities with constant Milnor number and of modality 2, namely

$$x^4 + y^6 + (a + by) x^2 y^3$$
, $a^2 \neq 4$, $\mu_{ab} = 15$.

It is the class $W_{1,0}$ in the terminology of [AGV85].

3. $x^3 + y^9$ – a singularity of modality 2. Corresponding to it is the class of singularities with constant Milnor number and of modality 2, namely

$$x^{3} + y^{9} + ax^{2}y^{3} + bxy^{7}, \quad 4a^{3} + 27 \neq 0, \quad \mu_{ab} = 16.$$

It is the class $J_{3,0}$ in the terminology of [AGV85].

What one can conclude is that generic elements f of the classes X_9 , $W_{1,0}$, $J_{3,0}$ mentioned above satisfy $\lambda(f) > 1$. However, determining the jump of any particular element of these classes is still an open problem and in fact Gusein-Zade did not give any specific example of a singularity f with $\lambda(f) > 1$. The purpose of this work is to prove that for the singularities f_0 in the X₉ class

$$f_0(x,y) = x^4 + y^4 + ax^2y^2, \quad a \in \mathbb{C}, a^2 \neq 4,$$

it is

$$\lambda\left(f_{0}\right)=2$$

(and that therefore all the singularities of the class X_9 are "generic" in the family X_9) and for the following singularities in the $W_{1,0}$ class

$$f_0(x,y) = x^4 + y^6 + bx^2y^4, \quad b \in \mathbb{C}$$

it is

$$\lambda\left(f_{0}\right)=1$$

(and that therefore these singularities are not "generic" in the family $W_{1,0}$).

We also pose some open problems:

- 1. Show that for the remaining singularities in the $W_{1,0}$ class, i.e. for the singularities $f^{(a,b)} := x^4 + y^6 + (a+by) x^2 y^3$, where $a, b \in \mathbb{C}, 0 \neq a^2 \neq 4$, it is $\lambda(f^{(a,b)}) = 2$.
- 2. Compute the jumps for the singularities $f^{(a,b)}$ in the class $J_{3,0}$ with respect to the parameters a, b.

2 Introductory Facts

In this section we review briefly the notion of non-degeneration of singularity and the known theorems of Kouchnirenko and Płoski on the Milnor numbers of nondegenerate singularities, as well as Bodin's results about the non-degenerate jumps of singularities. Here we restrict ourselves to considering the two-dimensional case, as that is what will be needed in the sequel. However, at the end of the section there is also discussed the notion of a versal unfolding, and the fundamental theorem on it is given, and we work in *n*-dimensions in this context.

In the following we define \mathbb{N} to be the set of nonnegative integers, and \mathbb{R}_+ will denote the set of nonnegative real numbers. Let $f_0(x, y) = \sum_{(i,j) \in \mathbb{N}^2} a_{ij} x^i y^j$ be a singularity. Let supp $(f_0) := \{(i, j) \in \mathbb{N}^2 : a_{ij} \neq 0\}$. The Newton Diagram of f_0 is defined as the convex hull of the set

$$\bigcup_{(i,j)\in \text{supp}(f_0)} (i,j) + \mathbb{R}^2_+$$

and is denoted by Γ_+ (f_0). It is easy to see that the boundary (in \mathbb{R}^2) of the diagram Γ_+ (f_0) is a sum of two half-lines and a finite number of compact line segments (a degenerate case of no segments included). The set of those line segments will be called a *Newton Polygon of the singularity* f_0 and denoted by Γ (f_0). For each segment $\gamma \in \Gamma$ (f_0) we define a weighted homogenous polynomial

$$(f_0)_{\gamma} := \sum_{(i,j)\in\gamma} a_{ij} x^i y^j.$$

A singularity f_0 is called *non-degenerate* (in the Kouchnirenko sense) on a segment $\gamma \in \Gamma(f_0)$ iff the system

$$\frac{\partial (f_0)_{\gamma}}{\partial x} (x, y) = 0 = \frac{\partial (f_0)_{\gamma}}{\partial y} (x, y)$$

has no solutions in $\mathbb{C}^* \times \mathbb{C}^*$. f_0 is called *non-degenerate* iff it is non-degenerate on every segment $\gamma \in \Gamma(f_0)$.

For the sake of simplicity, we state the Kouchnirenko and Płoski Theorems only in the case of *convenient* singularities f_0 , i.e. we demand Γ_+ (f_0) to intersect both coordinate axes Ox, Oy of \mathbb{R}^2 . For such singularities we denote by \mathcal{A} the area of the domain bounded by the coordinate axes and the Newton Polygon Γ (f_0), while \boldsymbol{a} , (resp. **b**) are: the distance of the point (0,0) to the intersection of Γ_+ (f_0) with the Ox (resp. Oy) axis. The number

$$\nu(f_0) := 2\mathcal{A} - \boldsymbol{a} - \boldsymbol{b} + 1$$

is called the Newton Number of the singularity f_0 . The following famous fact holds.

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Theorem 1 (Kouchnirenko, [Kou76]) For a convenient singularity f_0 it is:

- 1. $\mu(f_0) \ge \nu(f_0)$,
- 2. if f_0 is non-degenerate then $\mu(f_0) = \nu(f_0)$.

Theorem 1 can be strengthen in the following way.

Theorem 2 (Płoski, [Pło90, Pło99]) If for a convenient singularity f_0 it is $\nu(f_0) = \mu(f_0)$ then f_0 is non-degenerate.

Remark. Under a suitable definition of the number $\nu(f_0)$, theorem 1 is also valid in the *n*-dimensional case. However, the theorem of Płoski is a purely 2-dimensional phenomenon; a suitable 3-dimensional example of a degenerate singularity f_0 for which $\nu(f_0) = \mu(f_0)$ was given in [Kou76, Remarque 1.21].

For a singularity f_0 we can consider non-degenerate deformations of f_0 , that is such deformations (f_s) of f_0 , that for small $|s| \neq 0$ the singularity f_s is nondegenerate. Then the smallest nonzero value among all the jumps of non-degenerate deformations of the singularity f_0 (cf. Section 1) will be called *the non-degenerate jump of the singularity* f_0 and denoted by $\lambda^{\text{nd}}(f_0)$. In another words,

 $\lambda^{\mathrm{nd}}\left(f_{0}\right):=\min\left(\left\{\lambda\left(\left(f_{s}\right)\right):\left(f_{s}\right)\ -\mathrm{a\ non-degenerate\ deformation\ of\ }f_{0}\right\}\setminus\left\{0\right\}\right).$

It turns out that this restricted jump of a singularity is possible to be determined in some important general cases using only elementary geometric-combinatorial methods. Namely, A. Bodin in [Bod07] (see also [Wal08], [Wal09], [Wal10], [Wal12] for a more complete exposition and some generalizations) managed to compute $\lambda^{\text{nd}}(f_0)$ in the case of convenient singularities f_0 whose Newton Polygon is built of only one segment. Let, more precisely, $\Gamma(f_0) = \{\overline{(a,0)(0,b)}\}$ and let us put $d := \gcd(a,b)$. Then:

Theorem 3 (Bodin, [Bod07]) Under the above assumptions and notations,

- a) if $d < \min(\boldsymbol{a}, \boldsymbol{b})$ then $\lambda^{\mathrm{nd}}(f_0) = d$
- b) if $d = \min(a, b)$ then $\lambda^{nd}(f_0) = d 1$.

The rest of the section is devoted mainly to the concept of a versal unfolding. It is based on the book by Ebeling [Ebe07]. Since we are not interested in the "semi-local" case, we adopt the definitions and the main result on versal unfoldings ([Ebe07, Prop. 3.17]) to the *local* situation.

Let $f_0 : (\mathbb{C}^n, 0) \to (\mathbb{C}, 0)$ be a germ of a holomorphic function. An unfolding of f_0 is a holomorphic germ $F : (\mathbb{C}^n \times \mathbb{C}^k, 0) \to (\mathbb{C}, 0)$ such that $F(z, 0) = f_0(z)$ and F(0, u) = 0.

Two unfoldings $F : (\mathbb{C}^n \times \mathbb{C}^k, 0) \to (\mathbb{C}, 0)$ and $G : (\mathbb{C}^n \times \mathbb{C}^k, 0) \to (\mathbb{C}, 0)$ of f_0 are said to be *equivalent*, if there exists a holomorphic map-germ

$$\psi: \left(\mathbb{C}^n \times \mathbb{C}^k, 0\right) \to \left(\mathbb{C}^n, 0\right), \quad \psi(z, 0) = z, \quad \psi(0, u) = 0$$

such that

$$G(z, u) = F(\psi(z, u), u)$$

It is easy to see that this notion of equivalence is in fact an equivalence relation in the set of unfoldings of f_0 .

Let $F : (\mathbb{C}^n \times \mathbb{C}^k, 0) \to (\mathbb{C}, 0)$ be an unfolding of f_0 and $\varphi : (\mathbb{C}^l, 0) \to (\mathbb{C}^k, 0)$ – a holomorphic map-germ. The unfolding of f_0 induced from F by φ is defined by the formula

$$G\left(z,u\right) = F\left(z,\varphi\left(u\right)\right)$$

An unfolding $F : (\mathbb{C}^n \times \mathbb{C}^k, 0) \to (\mathbb{C}, 0)$ of f_0 is called *versal* if any unfolding of f_0 is equivalent to one induced from F.

The following proposition will be useful.

Proposition 1 ([Mar82, Ch. 4, Prop. 2.4]) If $f \in \mathcal{O}^n$ is an isolated singularity, \mathfrak{m} is the maximal ideal in \mathcal{O}^n , then

$$\dim_{\mathbb{C}} \frac{\mathcal{O}^n}{\mathfrak{m}\left(\nabla f\right)\mathcal{O}^n} = \dim_{\mathbb{C}} \frac{\mathcal{O}^n}{\left(\nabla f\right)\mathcal{O}^n} + n.$$

The main result concerning versal unfoldings is the following.

Theorem 4 Let $f_0 : (\mathbb{C}^n, 0) \to (\mathbb{C}, 0)$ be a singularity and put $\mu = \mu(f_0)$. Let $g_1, \ldots, g_{\mu+n-1} \in \mathcal{O}^n$ be any representatives of a basis of the \mathbb{C} -vector space $\frac{\mathfrak{m}}{\mathfrak{m}(\nabla f_0)}$, where \mathfrak{m} is the maximal ideal in \mathcal{O}^n . Then the holomorphic germ

$$F: \left(\mathbb{C}^n \times \mathbb{C}^{\mu+n-1}, 0\right) \to (\mathbb{C}, 0)$$

defined as

$$F(z, u) := u_1 g_1(z) + \ldots + u_{\mu+n-1} g_{\mu+n-1}(z) + f_0(z)$$

is a versal unfolding of f_0 .

Remark. The proof of the above theorem runs in a very similar way to that given by Ebeling ([Ebe07, Prop. 3.17]; see also [Wal81, Thm. 3.4] for a more general, but less explicit, approach to the concept of a versal unfolding and a proof of Theorem 4).

Let $f : (\mathbb{C}^n, 0) \to (\mathbb{C}, 0), g : (\mathbb{C}^m, 0) \to (\mathbb{C}, 0)$ be two germs of holomorphic functions. We say that f is stably equivalent to g (see [AGV85]) iff there exists $p \in \mathbb{N}, p \ge \max(m, n)$ such that

$$f(x_1, \dots, x_n) + x_{n+1}^2 + \dots + x_p^2 \underset{\text{bih.} \\ \text{equiv.}}{\sim} g(y_1, \dots, y_m) + y_{m+1}^2 + \dots + y_p^2.$$

We note the following.

Proposition 2 The jump of a singularity is an invariant of the stable equivalence.

Proof. It is known, that the Milnor number is an invariant of stable equivalence. In particular, it easily follows that λ is a biholomorphic invariant. Thus, it suffices to prove that for a singularity $f_0 : (\mathbb{C}^n, 0) \to (\mathbb{C}, 0)$ and any $p \ge n+1$ it is

$$\lambda\left(f_0\left(x_1,\ldots,x_n\right)\right) = \lambda\left(f_0\left(x_1,\ldots,x_n\right) + x_{n+1}^2 + \ldots + x_p^2\right).$$

First note, that if (f_s) is a deformation of f_0 then the family

$$(f_s (x_1, \ldots, x_n) + x_{n+1}^2 + \ldots + x_p^2)$$

is a deformation of $f_0(x_1, \ldots, x_n) + x_{n+1}^2 + \ldots + x_p^2$ and by the above property of the Milnor number it is

$$\lambda\left(\left(f_s\left(x_1,\ldots,x_n\right)\right)\right) = \lambda\left(\left(f_s\left(x_1,\ldots,x_n\right) + x_{n+1}^2 + \ldots + x_p^2\right)\right).$$

It follows that $\lambda(f_0(x_1,\ldots,x_n)) \ge \lambda(f_0(x_1,\ldots,x_n) + x_{n+1}^2 + \ldots + x_p^2)$. We will prove that the opposite inequality also holds.

Let $x := (x_1, ..., x_p)$ and $x' := (x_1, ..., x_n)$. Put

$$g_{0}(x) := f_{0}(x') + x_{n+1}^{2} + \ldots + x_{p}^{2}$$

Take any deformation (g_s) of the singularity g_0 . One can assume that $\mu(g_s) < \mu(g_0)$ and $\mu(g_s) \neq 0$, i.e. the germs g_s are not smooth, for small $|s| \neq 0$. By Theorem 4, as a versal deformation of f_0 one can take

$$F(x', u) := u_1 h_1(x') + \ldots + u_{\mu+n-1} h_{\mu+n-1}(x') + f_0(x'),$$

where $\mu := \mu(f_0)$ and $h_1, \ldots, h_{\mu+n-1} \in \mathcal{O}^n$ constitute a basis of $\frac{\mathfrak{m}_n}{\mathfrak{m}_n(\nabla f_0)\mathcal{O}^n}$, \mathfrak{m}_n denoting the maximal ideal of \mathcal{O}^n . Let, similarly, \mathfrak{m}_p denote the maximal ideal of $\mathcal{O}^p \supset \mathcal{O}^n$. It is easy to see that

$$\left(\mathfrak{m}_{n}+\left(x_{n+1},\ldots,x_{p}\right)\mathbb{C}\right)\mathcal{O}^{n}+\mathfrak{m}_{p}\cdot\left(\nabla f_{0},x_{n+1},\ldots,x_{p}\right)\mathcal{O}^{p}=\mathfrak{m}_{p}.$$

It follows that (the classes of) the elements of the set

$$\mathcal{B} := \{h_1, \ldots, h_{\mu+n-1}, x_{n+1}, \ldots, x_p\}$$

span the \mathbb{C} -linear space $\frac{\mathfrak{m}_p}{\mathfrak{m}_p(\nabla f_0, x_{n+1}, \dots, x_p)\mathcal{O}^p}$. But $(\nabla f_0, x_{n+1}, \dots, x_p)_{\mathcal{O}^p} = (\nabla g_0)_{\mathcal{O}^p}$. By Proposition 1, the set \mathcal{B} is a basis of $\frac{\mathfrak{m}_p}{\mathfrak{m}_p(\nabla g_0)\mathcal{O}^p}$ since card $\mathcal{B} = \mu + p - 1$ and $\mu = \mu(f_0) = \mu(g_0) = \dim_{\mathbb{C}} \frac{\mathcal{O}^p}{(\nabla g_0)\mathcal{O}^p}$. Thus the germ $G : (\mathbb{C}^p \times \mathbb{C}^{\mu+p-1}, 0) \to (\mathbb{C}, 0)$ given by

$$G(x,v) := v_1 h_1(x') + \ldots + v_{\mu+n-1} h_{\mu+n-1}(x') + v_{\mu+n} x_{n+1} + \ldots + v_{\mu+p-1} x_p + g_0(x)$$

is a versal unfolding of g_0 . It means that for the deformation (g_s) one can find a holomorphic map-germ $\varphi : (\mathbb{C}, 0) \to (\mathbb{C}^{\mu+p-1}, 0)$ such that

$$g_{s}\left(\cdot\right) \sim G\left(\cdot,\varphi\left(s\right)\right),$$

for every small enough $|s| \neq 0$. But then $\mu(g_s) = \mu(G(\cdot, \varphi(s)))$ and since $G_{\varphi(s)} := G(\cdot, \varphi(s))$ is a deformation of g_0 , also $\lambda((g_s)) = \lambda((G_{\varphi(s)}))$. Now, we assumed that the g_s 'es were not smooth, so it has to be $\varphi_{\mu+n} = \ldots = \varphi_{\mu+p-1} = 0 \in \mathcal{O}$ or in another words

$$G_{\varphi(s)}(x) = \varphi_1(s) h_1(x') + \ldots + \varphi_{\mu+n-1}(s) h_{\mu+n-1}(x') + g_0(x).$$

Putting

$$h_{s}(x) := \varphi_{1}(s) h_{1}(x') + \ldots + \varphi_{\mu+n-1}(s) h_{\mu+n-1}(x') + f_{0}(x')$$

we have $G_{\varphi(s)}(x) - h_s(x) = x_{n+1}^2 + \ldots + x_p^2$, and so $\mu(G_{\varphi(s)}) = \mu(h_s)$, for small $|s| \neq 0$. Since (h_s) is a deformation of f_0 and $\mu(g_0) = \mu(f_0)$, it is $\lambda((G_{\varphi(s)})) = \lambda((h_s))$. Thus $\lambda((g_s)) = \lambda((h_s))$ and $\lambda(g_0) \ge \lambda(f_0)$. The proof is finished. \Box

3 Main Results

Since showing that $\lambda (x^4 + y^6 + bx^2y^4) = 1$ is much easier than proving that $\lambda (x^4 + y^4 + ax^2y^2) = 2$, we first address the first problem.

Theorem 5 For the singularities $f_0(x, y) = x^4 + y^6 + bx^2y^4$, where $b \in \mathbb{C}$, it is

$$\lambda\left(f_{0}\right)=1$$

In particular, $\lambda (x^4 + y^6) = 1$.

Proof. Fix any $b \in \mathbb{C}$. Since f_0 is Kouchnirenko non-degenerate, it follows that $\mu(f_0) = 15$. Consider the following deformation of f_0 :

$$f_{s}(x,y) := x^{4} + (y^{2} + sx)^{3} + bx^{2}y^{4}.$$

The deformation consists of degenerate singularities (for $s \neq 0$). Apply the following change of coordinates: $x \mapsto x - sy^2, y \mapsto sy$. In this coordinates the f_s 'es take the form

$$\bar{f}_s(x,y) = s^3 x^3 + (s^4 + bs^6) y^8 + \left[x^4 - 4sx^3y^2 + (6s^2 + bs^4)x^2y^4 - (4s^3 + 2bs^5)xy^6\right].$$

It is immediately seen that for $s \neq 0$ the singularities \bar{f}_s are non-degenerate and so

$$\mu\left(\bar{f}_s\right) = 14.$$

Since the Milnor number is an invariant of a singularity, it is also

$$\mu\left(f_s\right) = 14.$$

It means that for this particular deformation (f_s) it is $\lambda((f_s)) = 1$. Therefore also $\lambda(f_0) = 1$, by the definition of the jump of a singularity.

Remark. Theorem 3 (see also [Wal10, Corollary 2]) implies that for the above singularities f_0 their non-degenerate jumps are equal to 2.

We now present the proof of the main result of this work, namely that $\lambda (x^4 + y^4 + ax^2y^2) = 2$. The proof, in part, was strongly supported by symbolic calculations (in the computer algebra system MAPLE).

Theorem 6 For the singularities

(1)
$$f_0(x,y) = x^4 + y^4 + ax^2y^2,$$

where $a \in \mathbb{C}, a^2 \neq 4$, it is

$$\lambda\left(f_{0}\right)=2.$$

Thus for every singularity of type X_9 its jump is equal to 2.

First we state and prove two lemmas.

Lemma 1 As a basis of the \mathbb{C} -vector space $\mathfrak{m}/\mathfrak{m}(\nabla f_0)$, where \mathfrak{m} is the maximal ideal in \mathcal{O}^2 , one can take the (classes of the) monomials $x^i y^j$ with $0 < i + j \leq 3$ and the monomial $x^2 y^2$.

Proof of Lemma 1. Let us note that $\nabla f_0(x, y) = (4x^3 + 2axy^2, 4y^3 + 2ax^2y)$ and $x^5, x^3y \in \mathfrak{m}(\nabla f_0)$ in \mathcal{O}^2 . Indeed, it is easy to check that

$$x^{5} = \left(\frac{x^{2}}{4} + \frac{2ay^{2}}{4(a^{2}-4)}\right)\frac{\partial f_{0}}{\partial x} + \left(\frac{-a^{2}xy}{4(a^{2}-4)}\right)\frac{\partial f_{0}}{\partial y}$$

and

$$x^{3}y = \left(\frac{-y}{(a^{2}-4)}\right)\frac{\partial f_{0}}{\partial x} + \left(\frac{ax}{2(a^{2}-4)}\right)\frac{\partial f_{0}}{\partial y}.$$

Since f_0 is symmetric with respect to x and y, also $y^5, xy^3 \in \mathfrak{m}(\nabla f_0)$. Thus it is possible to depict the monomials that are potentially nonzero in $\mathfrak{m}/\mathfrak{m}(\nabla f_0)$ as follows:



We claim that the set \mathcal{B} of the classes of the black points constitutes a basis of the \mathbb{C} -linear space $\mathfrak{m}/\mathfrak{m}(\nabla f_0)$. To see this, it is enough to note that $y^4 \equiv -\frac{a}{2}x^2y^2 \pmod{\mathfrak{m} \nabla f_0}$, which means that $\overline{y^4} \in \lim_{\mathbb{C}} \mathcal{B}$ and by symmetry – also $\overline{x^4} \in \lim_{\mathbb{C}} \mathcal{B}$. Thus $\lim_{\mathbb{C}} \mathcal{B} = \mathfrak{m}/\mathfrak{m}(\nabla f_0)$. Since $\mu(f_0) = \dim_{\mathbb{C}} \mathcal{O}^2/(\nabla f_0) = 9$ and by Proposition 1 it is $\dim_{\mathbb{C}} \mathfrak{m}/\mathfrak{m}(\nabla f_0) = 10 = \operatorname{card} \mathcal{B}$, the set \mathcal{B} is also linearly independent.

Lemma 2 For any complex numbers c, d, e, f, g, h with $h \neq 0$ the isolated singularity \mathfrak{F} of the form

(2)
$$\mathfrak{F}(x,y) = \left(x+y^2\right)^2 + \mathfrak{c}x^3 + \mathfrak{d}x^2y + \mathfrak{e}x^3y + \mathfrak{f}x^2y^2 + \mathfrak{g}xy^3 + \mathfrak{h}x^4$$

has its Milnor number less than 8.

Proof of Lemma 2. Suppose that there exist complex numbers $\mathfrak{c}, \mathfrak{d}, \mathfrak{e}, \mathfrak{f}, \mathfrak{g}, \mathfrak{h}$ such that $\mathfrak{h} \neq 0$ and the isolated singularity \mathfrak{F} of the form (2) fulfills $\mu(\mathfrak{F}) \geq 8$. We compute the derivatives:

$$\begin{array}{rcl} (3) & \displaystyle \frac{\partial \mathfrak{F}}{\partial x} \left(x, y \right) & = & \displaystyle 2 \left(x + y^2 \right) + 3\mathfrak{e}x^2 + 2\mathfrak{d}xy + 3\mathfrak{e}x^2y + 2\mathfrak{f}xy^2 + \mathfrak{g}y^3 + 4\mathfrak{h}x^3 \\ (4) & \displaystyle \frac{\partial \mathfrak{F}}{\partial y} \left(x, y \right) & = & \displaystyle 4y \left(x + y^2 \right) + \mathfrak{d}x^2 + \mathfrak{e}x^3 + 2\mathfrak{f}x^2y + 3\mathfrak{g}xy^2. \end{array}$$

Since $\operatorname{ord}_x \frac{\partial \mathfrak{F}}{\partial x} = 1$, it is possible to express the solution to the equation $\frac{\partial \mathfrak{F}}{\partial x}(\cdot, y) = 0$ as a function of y, namely $\frac{\partial \mathfrak{F}}{\partial x}(\varphi(y), y) = 0$ for the uniquely determined germ φ . Moreover, by the parametric definition of intersection multiplicity we have

(5)
$$8 \leqslant \mu(\mathfrak{F}) = i_0(\frac{\partial \mathfrak{F}}{\partial x}, \frac{\partial \mathfrak{F}}{\partial y}) = \operatorname{ord}_y \frac{\partial \mathfrak{F}}{\partial y} (\varphi(y), y).$$

Using (3) it is not hard to check that φ is of the following form

(6)
$$\varphi(y) = -y^2 - \frac{1}{2} (\mathfrak{g} - 2\mathfrak{d}) y^3 + \frac{1}{2} (\mathfrak{d}\mathfrak{g} - 2\mathfrak{d}^2 + 2\mathfrak{f} - 3\mathfrak{c}) y^4 + \dots$$

Taking into account (4) we conclude that the chunk of φ computed above allows us to correctly determine the terms of $\frac{\partial \mathfrak{F}}{\partial y}(\varphi(y), y)$ up to order 5 and (5) implies that these terms have to be equal to zero. Thus, substituting (6) into (4) and expanding, we arrive at

$$\mathcal{O}(y^{8}) = \frac{\partial \mathfrak{F}}{\partial y}(\varphi(y), y) = -5(\mathfrak{g} - \mathfrak{d})y^{4} + \frac{3}{2}(-\mathfrak{g}^{2} + 4\mathfrak{d}\mathfrak{g} - 4\mathfrak{d}^{2} + 4\mathfrak{f} - 4\mathfrak{c})y^{5} + \mathcal{O}(y^{6}).$$

The corresponding system of equations easily leads to the following unique set of relations:

(7)
$$\mathfrak{d} = \mathfrak{g}, \mathfrak{c} = \frac{1}{4}(4\mathfrak{f} - \mathfrak{g}^2).$$

Now we substitute (7) into (3) and (4):

$$(8) \qquad \frac{\partial \mathfrak{F}}{\partial x} (x, y) = 2 \left(x + y^2 \right) + 3(\mathfrak{f} - \frac{1}{4}\mathfrak{g}^2)x^2 + 2\mathfrak{g}xy + 3\mathfrak{e}x^2y + 2\mathfrak{f}xy^2 + \mathfrak{g}y^3 + 4\mathfrak{h}x^3$$

$$(9) \qquad \frac{\partial \mathfrak{F}}{\partial y} (x, y) = 4y \left(x + y^2 \right) + \mathfrak{g}x^2 + \mathfrak{e}x^3 + 2\mathfrak{f}x^2y + 3\mathfrak{g}xy^2$$

and we compute the approximation of the expansion of φ a bit further:

(10)
$$\varphi(y) = -y^2 + \frac{1}{2}\mathfrak{g}y^3 - \frac{1}{8}(\mathfrak{g}^2 + 4\mathfrak{f})y^4 - \frac{1}{4}(\mathfrak{g}^3 - 6\mathfrak{f}\mathfrak{g} + 6\mathfrak{e})y^5 + \dots$$

Substituting (10) into (9) we can find the expansion of $\frac{\partial \mathfrak{F}}{\partial y}(\varphi(y), y)$ up to order 6, namely

$$\mathcal{O}(y^{8}) = \frac{\partial \mathfrak{F}}{\partial y}(\varphi(y), y) = -\frac{7}{8}(\mathfrak{g}^{3} - 4\mathfrak{f}\mathfrak{g} + 8\mathfrak{e})y^{6} + \mathcal{O}(y^{7}).$$

The above equation leads to

(11)
$$\mathbf{\mathfrak{e}} = \frac{1}{8}\mathfrak{g}(4\mathfrak{f} - \mathfrak{g}^2).$$

Using the relation (11) in (8) and (9) we get:

$$\begin{array}{rcl} \frac{\partial \mathfrak{F}}{\partial x}\left(x,y\right) &=& 2\left(x+y^2\right) + \frac{3}{4}(4\mathfrak{f}-\mathfrak{g}^2)x^2 + 2\mathfrak{g}xy + \frac{3}{8}\mathfrak{g}(4\mathfrak{f}-\mathfrak{g}^2)x^2y + \\ &\quad + 2\mathfrak{f}xy^2 + \mathfrak{g}y^3 + 4\mathfrak{h}x^3 \\ \end{array} \\ (12) \quad \frac{\partial \mathfrak{F}}{\partial y}\left(x,y\right) &=& 4y\left(x+y^2\right) + \mathfrak{g}x^2 + \frac{1}{8}\mathfrak{g}(4\mathfrak{f}-\mathfrak{g}^2)x^3 + 2\mathfrak{f}x^2y + 3\mathfrak{g}xy^2 \end{array}$$

and then we compute the next term of φ , obtaining

(13)
$$\varphi(y) = -y^2 + \frac{1}{2}\mathfrak{g}y^3 - \frac{1}{8}(\mathfrak{g}^2 + 4\mathfrak{f})y^4 - \frac{1}{16}\mathfrak{g}(\mathfrak{g}^2 - 12\mathfrak{f})y^5 + \frac{1}{16}(\mathfrak{g}^4 - 4\mathfrak{f}\mathfrak{g}^2 - 16\mathfrak{f}^2 + 32\mathfrak{h})y^6 + \dots$$

One last time we compute the approximation of $\frac{\partial \mathfrak{F}}{\partial y}(\varphi(y), y)$, this time using (13) in (12):

$$\mathcal{O}(y^{8}) = \frac{\partial \mathfrak{F}}{\partial y}(\varphi(y), y) = -\frac{1}{8}(\mathfrak{g}^{4} - 8\mathfrak{f}\mathfrak{g}^{2} + 16\mathfrak{f}^{2} - 64\mathfrak{h})y^{7} + \mathcal{O}(y^{8}).$$

The above equation implies the following

(14)
$$\mathbf{\mathfrak{h}} = \frac{1}{64}\mathbf{\mathfrak{g}}^4 - \frac{1}{8}\mathbf{\mathfrak{fg}}^2 + \frac{1}{4}\mathbf{\mathfrak{f}}^2 = \frac{1}{64}(4\mathbf{\mathfrak{f}} - \mathbf{\mathfrak{g}}^2)^2.$$

Putting $i := 4\mathfrak{f} - \mathfrak{g}^2$ we can sum up the relations (7), (11) and (14) as

(15)
$$\mathbf{\mathfrak{d}} = \mathfrak{g}, \mathfrak{c} = \frac{1}{4}\mathbf{i}, \mathfrak{e} = \frac{1}{8}\mathfrak{g}\mathbf{i}, \mathfrak{h} = \frac{1}{64}\mathbf{i}^2.$$

Thus, written in terms of $\mathfrak i$ and $\mathfrak g,\,\mathfrak F$ takes the form

$$\begin{aligned} \mathfrak{F}(x,y) &= (x+y^2)^2 + \frac{1}{4}\mathfrak{i}x^3 + \mathfrak{g}x^2y + \frac{1}{8}\mathfrak{g}\mathfrak{i}x^3y + \frac{1}{4}(\mathfrak{i}+\mathfrak{g}^2)x^2y^2 + \mathfrak{g}xy^3 + \frac{1}{64}\mathfrak{i}^2x^4\\ &= \frac{1}{64}(8(x+y^2) + \mathfrak{i}x^2 + 4\mathfrak{g}xy)^2 \end{aligned}$$

which is impossible, since \mathfrak{F} is an isolated singularity. The lemma is proved. \Box

Remark. By analyzing the proof of Lemma 2 and using Ploski Theorem, one can conclude that the singularities of the form (2) can have their Milnor numbers equal only to 4, 5, 6 or 7.

Proof of Theorem 6. First note that it is enough to compute λ (f_0) for f_0 of the form (1), or in another words for singularities being given in the normal form for the class X_9 (cf. [AGV85]), because — by Proposition 2 — the jump is an invariant of stable equivalence and each singularity of the family X_9 is stably equivalent to one of the form (1).

Let us fix $a \in \mathbb{C}$, $a^2 \neq 4$. We easily check that $\mu(f_0) = 9$. Let us consider the deformation

$$f_s(x,y) := x^4 + (y^2 + sx)^2 + ax^2(y^2 + sx).$$

As was the case with Theorem 5, we apply now the change of coordinates: $x \mapsto x - sy^2$, $y \mapsto sy$, for $s \neq 0$. In this coordinates the f_s 'es take the form

$$\bar{f}_s(x,y) = s^2 x^2 + as^3 x y^4 + s^4 y^8 + [asx^3 + x^4 - 2as^2 x^2 y^2 - 4sx^3 y^2 + 6s^2 x^2 y^4 - 4s^3 x y^6].$$

It is easily seen that such \bar{f}_s 'es are non-degenerate if $s \neq 0$ and $a \neq \pm 2$. Thus, by Kouchnirenko theorem, it is $\mu(\bar{f}_s) = \nu(\bar{f}_s) = 7$ and so also

(16)
$$\mu(f_s) = 7 \text{ for } s \neq 0.$$

It means that $\lambda((f_s)) = 2$ and therefore $\lambda(f_0) \leq 2$. By the definition of the jump of a singularity, there are only two cases: $\lambda(f_0) = 1$ or $\lambda(f_0) = 2$. We will exclude the first possibility.

Suppose to the contrary, that there exists a deformation (f_s) of the singularity f_0 with the property that

(17)
$$\mu(f_s) = 8 \text{ for } s \neq 0.$$

By Theorem 4 it is possible to write the versal unfolding of f_0 as

$$f_{\mathfrak{S}}(x,y) = s_{10}x + s_{01}y + s_{20}x^2 + s_{11}xy + s_{02}y^2 + s_{30}x^3 + s_{21}x^2y + s_{12}xy^2 + s_{30}y^3 + s_{22}x^2y^2 + f_0(x,y)$$

and there exists a holomorphic mapping $\mathfrak{S} = (s_{10}, \ldots, s_{22}) : (\mathbb{C}, 0) \to (\mathbb{C}^{10}, 0)$ such that for every small enough $|s| \neq 0$ it is

$$f_s \sim f_{\mathfrak{S}(s)}$$
.
equiv.

It implies that $\mu(f_s) = \mu(f_{\mathfrak{S}(s)})$ and so in the following we may assume that $f_s = f_{\mathfrak{S}(s)}$. Since $\mu(f_s) = 8 \neq 0$ for $s \neq 0$ then the germs f_s are not smooth. It follows that ord $f_s \geq 2$ and that gives $s_{10}x + s_{01}y = 0$ or $s_{10} = s_{01} = 0$. Thus we have

$$(18) f_s(x, y) = s_{20}x^2 + s_{11}xy + s_{02}y^2 + s_{30}x^3 + s_{21}x^2y + s_{12}xy^2 + s_{03}y^3 + s_{22}x^2y^2 + f_0(x, y),$$

where $s_{ij}(0) = 0$.

From Theorem 3 it follows that the f_s 'es have to be degenerate for small $|s| \neq 0$, so we can assume that this is the case for all f_s , $s \neq 0$. However, the singularity f_0 is non-degenerate and so we conclude by Ploski theorem 2 that it has to be

(19)
$$\operatorname{ord} f_s < 4$$

Thus we will distinguish two cases: ord $f_s = 3$ and ord $f_s = 2$. What is more, in the rest of the reasoning we choose and keep fixed *any* sufficiently small $s_0 \neq 0$.

I. ord $f_{s_0} = 3$. That means we can write

$$f_{s_0}(x,y) = s_{30}x^3 + s_{21}x^2y + s_{12}xy^2 + s_{03}y^3 + (s_{22}+a)x^2y^2 + x^4 + y^4,$$

with $s_{ij} = s_{ij} (s_0) \in \mathbb{C}$. There are several options for the Newton diagram of f_{s_0} . However, f_{s_0} has to be degenerate, so the possibilities can be reduced to the following (the white point is optional, at least one of the grey points has to appear as a vertex of the diagram, and the black points are obligatory):



We will treat the above possibilities simultaneously. Namely, one can write them down in the following way

$$f_{s_0}(x,y) = (\alpha x + \beta y)^2 (\gamma x + \delta y) + (s_{22} + a) x^2 y^2 + x^4 + y^4,$$

where $\alpha, \beta, \gamma, \delta \in \mathbb{C}$ with $\alpha\beta \neq 0$ and $(\gamma, \delta) \neq (0, 0)$. Next we change the coordinates: $x \mapsto \frac{x}{\alpha}, y \mapsto \frac{y}{\beta}$ and after that f_{s_0} takes the form

$$\tilde{f}_{s_0}(x,y) = (x+y)^2 (\varepsilon x + \zeta y) + \rho x^2 y^2 + \sigma x^4 + \tau y^4,$$

where $\sigma \tau \neq 0$ and $\varepsilon, \zeta \neq (0,0)$. We change the coordinates ones again: $x \mapsto x - y, y \mapsto y$ to obtain

$$\tilde{f}_{s_0}(x,y) = \epsilon x^3 + (-\epsilon + \zeta) yx^2 + \sigma x^4 - 4\sigma yx^3 + (\rho + 6\sigma) y^2 x^2 + -2 (\rho + 2\sigma) y^3 x + (\sigma + \rho + \tau) y^4.$$

Since ord $\tilde{f}_{s_0} = 3$, the Newton diagram of \tilde{f}_{s_0} is of one of the following forms (in each image the white point is optional, exactly one of the grey points has to appear as a vertex of the diagram, and the black points are obligatory):



In each of the above situations however, $\tilde{\tilde{f}}_{s_0}$ is easily seen to be non-degenerate and $\mu(\tilde{\tilde{f}}_{s_0}) = \nu(\tilde{\tilde{f}}_{s_0}) \leqslant 7$. Thus $\mu(f_{s_0}) \leqslant 7$, contradictory to (17).

II. ord $f_{s_0} = 2$. Consider subcases

1. f_{s_0} is a reducible germ, or in another words we can write

$$f_{s_0} = f'f'', \quad \text{ord } f' = \text{ord } f'' = 1.$$

Using the classical formula for the Milnor number of the product of two singularities (see e.g. [Cas00, Prop. 6.4.4]) we compute

$$8 = \mu(f_{s_0}) = (f'f'') = \mu(f') + 2\mu(f', f'') + \mu(f'') - 1 = = 2\mu(f', f'') - 1,$$

which is impossible, $\mu(f', f'')$ being an integer.

- 2. f_{s_0} is an irreducible germ. Since it is also a degenerate germ, it has to be of one of the following forms (cf. (18)):
 - i. $f_{s_0}(x,y) = (\alpha x + \beta y)^2 + \text{higher order terms}, \quad \alpha \neq 0, \beta \neq 0,$
 - ii. $f_{s_0}(x,y) = (\alpha x + y^2)^2$ + higher (weighted) order terms, $\alpha \neq 0$,

iii. $f_{s_0}(x,y) = (x^2 + \beta y)^2$ + higher (weighted) order terms, $\beta \neq 0$.

More precisely, after taking (18) into account, one can sketch the Newton diagrams of f_{s_0} in each of the above cases, respectively as follows

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Let us consider the case (i). Using (18) we can write

$$f_{s_0}(x,y) = (\alpha x + \beta y)^2 + s_{30}x^3 + s_{21}x^2y + s_{12}xy^2 + s_{03}y^3 + (s_{22} + a)x^2y^2 + x^4 + y^4,$$

where $\alpha, \beta \in \mathbb{C}^*$ and $s_{ij} = s_{ij} (s_0) \in \mathbb{C}$. If so, we have

(20)
$$f_{s_0}(x,y) = \alpha^2 \left(x + \frac{\beta}{\alpha} y \right)^2 + s_{30} x^3 + s_{21} x^2 y + s_{12} x y^2 + s_{03} y^3 + (s_{22} + a) x^2 y^2 + x^4 + y^4,$$

and performing the change of coordinates $\mathcal{L}: x \mapsto x - \frac{\beta}{\alpha}y, y \mapsto y$ we are led to

(21)
$$\tilde{f}_{s_0}(x,y) := (f_{s_0} \circ \mathcal{L})(x,y) = \alpha^2 x^2 + \text{middle terms} + x^4 + \left(1 + (s_{22} + a)\left(\frac{\beta}{\alpha}\right)^2 + \left(\frac{\beta}{\alpha}\right)^4\right) y^4.$$

The possible Newton diagrams of \tilde{f}_{s_0} can be depicted as follows (the white points are optional, at least one of the grey points has to appear as a vertex of the diagram, and the black points are obligatory)



so, by Kouchnirenko theorem, \tilde{f}_{s_0} has to be degenerate in order that $\mu\left(\tilde{f}_{s_0}\right) = 8$ (otherwise $\mu\left(\tilde{f}_{s_0}\right) = \nu\left(\tilde{f}_{s_0}\right) \leqslant 5 = \nu\left(x^2 + xy^3\right)$ by the monotonicity of the Newton number with respect to Newton diagrams; cf. [Gwo08] or [Len08, Prop. 6.1]). But \tilde{f}_{s_0} being degenerate implies that in

fact there is only one possibility for the shape of \tilde{f}_{s_0} , namely (look at (21) and the figure above)

(22)
$$\tilde{f}_{s_0}(x,y) = (\alpha x + By^2)^2 + Cx^3 + Dx^2y + Ex^3y + Fx^2y^2 + Gxy^3 + x^4,$$

where $\alpha, \ldots, G \in \mathbb{C}$ and $\alpha \neq 0 \neq B$. We change the coordinates as follows: $x \mapsto \frac{x}{\alpha}, y \mapsto \frac{y}{\sqrt{B}}$, where $\sqrt{B} \in \mathbb{C}$ is a square root of $B \in \mathbb{C}$. In these new coordinates \tilde{f}_{s_0} takes the form

$$\mathfrak{F}_{s_0}\left(x,y\right) = \left(x+y^2\right)^2 + \mathfrak{c} x^3 + \mathfrak{d} x^2 y + \mathfrak{e} x^3 y + \mathfrak{f} x^2 y^2 + \mathfrak{g} x y^3 + \mathfrak{h} x^4,$$

where $[\mathfrak{h} \neq 0]$, and so Lemma 2 applies to \mathfrak{F}_{s_0} . As a consequence, $8 > \mu(\mathfrak{F}_{s_0}) = \mu(f_{s_0})$, which is contradictory to (17). This proves that the case (i) cannot happen.

Now we consider the second case. We see at once that if f_{s_0} is of the form (ii), it is in particular of the form (22) because $\alpha \neq 0$. It means that the reasoning carried on above for \tilde{f}_{s_0} applies also to f_{s_0} of the form (ii) and so the case (ii) cannot happen.

The third case is immediately excluded by the symmetry of the indeterminates x and y in f_0 .

Summing up, f_{s_0} cannot be an irreducible germ which means that (II) does not take place and thus ord $f_{s_0} \neq 2$.

Since we have proved that f_{s_0} is neither of order 2 nor 3 and these are the only valid possibilities by (19), we arrive at a contradiction and thus we conclude that there is no deformation (f_s) of f_0 satisfying (17). On the other hand, we have indicated a deformation of f_0 with its jump equal to 2 (see (16)). By the definition of the jump of a singularity, the above means that $\lambda(f_0) = 2$. The proof is finished. \Box

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Skok liczb Milnora w klasie osobliwości X₉

Streszczenie. Skok liczb Milnora osobliwości izolowanej f_0 to minimalna z niezerowych różnic pomiędzy liczbami Milnora osobliwości f_0 i jej deformacji (f_s) . Dowodzimy, że dla osobliwości $x^4 + y^4 + ax^2y^2$, gdzie $a \in \mathbb{C}$, $a^2 \neq 4$, z klasy X_9 ich skok jest równy 2.

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